

MAR 26 2013

Maine Ethics Commission

# RECCOMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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## STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

For the 2012 Calendar Year: January 1, 2012 - December 31, 2012

Please file this statement with the Maine Ethics Commission. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations. A glossary is located in the back of this form,

#### **General Instructions**

- Complete all sections. If a section is not applicable, check the box marked "None."
- Report only specific sources of income. Dollar amounts do not need to be reported.
- If completing this form by hand, please write legibly.

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#### REPORT TYPE (please see below) 🛛 Initial Annual Update ☐ Final

#### Reporting Deadlines

# **Constitutional Officers and the State Auditor**

Newly elected constitutional officers and the state auditor must file the financial disclosure statement within 30 days of election and by April 15 of each year they are in office, unless a report for that year has already been filed.

### Appointed Executive Employees

Newly appointed executive employees who are appointed by the Governor and confirmed by the Legislature must file the financial disclosure statement prior to their confirmation and by April 15 of each year of their employment, unless a report for that year has already been filed...

#### Executive Employees in Major Policy-Influencing Positions

Executive employees in major policy-influencing positions must file the financial disclosure statement by April 15 of each year of their employment.

# Leaving Office or Terminating Employment

Constitutional officers and the state auditor and all other executive employees must file a final financial disclosure statement within 45 days of leaving office or terminating employment that covers the calendar year of leaving office or terminating employment.

# **Updating Statement**

An executive employee shall file an updated statement concerning the current calendar year if the income, reportable liabilities or positions of the executive employee or an immediate family member, excluding dependent children, substantially change from those disclosed in the employee's most recent statement. Substantial changes include, but are not limited to:

- a new employer that has paid the employee/immediate family member \$2,000 or more during the current year.
- a source of income that has provided the employee/immediate family member with income that totals \$2,000 or more during the current year, and
- the acceptance of a new position with a for-profit or nonprofit firm or political action or ballot question committee.

The executive employee shall file the updated statement within 30 days of the substantial change in income, reportable liabilities or positions.

Part 1. Income from	Employment	by Ano	ther					
None. Check this	s box if you did	not have	e income fro	om employn	nent by a	another.		
Name of Employer		Address	S	Principal Ty Business A	ype of Eco Activity of I	onomic or Employer		Job Title
Part 2. Income from	Self-Employn	ent		<u> </u>				
None. Check this	s box if you did	not have	e income fro	om self-emp	loyment	; ·•		
Name of Your Business	/Trade Name		Add	ress	-	Principal <sup>*</sup>	Туре о	f Economic or Business Activity
				<u> </u>				
Name of Client or Custome instructions			Add	ress		Principal '	Type of Activ	f Economic or Business rity of Client
Part 3. Revenue of E	3usiness Entit	ies						
None. Check this	box if you and	your im	mediate far	nily did not l	have a n	najority sha	are in a	a business.
Name of Busir			Add	***************************************		· · ·	Type of	f Economic or Business Activity
							_	
				S A				
Part 4. Income from	the Practice c	f Law						•
None. Check this			income fro	m the pract	ice of la	<b>w</b> .		
Name of Practice or Firm	Address		Your Majo	or Areas of ctice	T	Major Areas Practice	of	Position: Partner, Associate, Sole Practitioner
				<del></del>				

D-15 1		
Part 5. Income from Any Other Son	***************************************	
None. Check this box if you did n	ot have income from any other source.	
Name of Source	Address	Type of Income
Maine Reblie Employers Retirement System	46 State blower Station Augusta ME	Pennion
Part 6-A. Compensation Income of		TOTAL .
None. Check this box if no memb employment or compensation.	ers of your immediate family received i	ncome of \$2,000 or more from
Name and Job Title (do not list name of dependent child)	Employer's Name and Address	Principal Type of Economic or Business Activity of Employer
100 641		
TATAL WALL		
Part 6-B. Other Sources of Income	of Immediate Family Members	
	ers of your immediate family received in	ncome of \$2,000 or more from any
Name of Spouse or Partner (do not list name of dependent child)	Source of Income Name and Address	Type of Income
Daniel M. Breton (Spouse)	Social Sunity	Pennion

Part 7. Loans		
None. Check this box if you did not have	reportable liabilities.	
Lender's Name	Lender's Address	Principal Type of Economic or Business Activity of Lender
Part 8. Gifts, Including Travel and Accor	nmodations	
None. Check this box if you did not rece	eived any gifts.	
Source of Gift		Source of Gift
1.	2.	
3.	4.	
Part 9. Honoraria		
None. Check this box if you did not rece	ved honoraria.	
Source of Honoraria	S	ource of Honoraria
1.	2.	
3.	4.	
Port 10 Positions in Political Action and		
Part 10. Positions in Political Action or Ba None. Check this box if you were not a tr		or fundraiser of a PAC or BOC
Name of Committee	outer, officer, decision-maker,	Title
•		
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State Agen	nediate family represe	nted another before ndividual Receiving on the hold positions in Relationship to	a State agency.
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Title	Name of Position	Relationship to	I
	Holder	Executive Employee	Compensated Yes/No
em ber	JANILE E. BRETON	⊠Self □Spouse □Dependent	☐ Yes ☒ No
		□Self □Spouse □Dependent	☐ Yes ☐ No
		□Self □Spouse □Dependent	☐ Yes ☐ No
S REPORT .	AND TO THE BEST	OF MY KNOWELDG	E IT IS TRUE,
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		SIGNATURE S REPORT AND TO THE BEST O	□ Dependent □ Self □ Spouse □ Dependent